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PATENTS 112056-0145 P01-1633

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Robert L. Fair Serial No.: 10/721,596	) ) Examiner: Not Yet Assigned )
Filed: November 25, 2003	) Art Unit: Not Yet Assigned )
For: ADAPTIVE FILE READAHEAD TECHNIQUE FOR MULTIPLE READ STREAMS	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 November 30, 2004
"Express Mail" Mailing-Label Number:	EV 336757149 US
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	

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In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicant has described what he considers to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

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Respectfully submitted,

Duane H. Dreger Reg. No. 48,836

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Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known				
Application Number	10/721,596			
Filing Date	November 25, 2003			
First Named Inventor Robert L. Fair				
Group Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	112056-0145			

U.S. PATENT DOCUMENTS					
Cite No. <sup>1</sup>	U.S. Patent Document  Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	5,963,962	Hitz et al.	10-05-1999		
	6,038,570	Hitz et al.	03-14-2000		
	5,819,292	Hitz et al.	10-06-1998		
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	Cite No.1	Cite No.1 Number Kind Code <sup>2</sup> (if known)  5,963,962  6,038,570  5,819,292	U.S. Patent Document   Name of Patentee or Applicant of Cited Document	U.S. Patent Document   Name of Patentee or Applicant of Cited Document   Date of Publication of Cited Document   MM-DD-YYYY	

FOREIGN PATENT DOCUMENTS								
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r Initials*	Cite No.1	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCUOSIDE	Application Number	10/721,596	•
INFORMATION DISCLOSURE	Filing Date	November 25, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Robert L. Fair	
	Group Art Unit	Not Yet Assigned	
(use as many sheets as necessary)	Examiner Name	Not Yet Assigned	
Sheet 2 of 2	Attorney Docket Number	112056-0145	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
		DAFS Collaborative, DAFS: Direct Access File System Protocol, Version 1.00, Revision Date September 1, 2001, pp. 1-358	
		DAVID HITZ et al. TR3002 File System Design for a NFS File Server Appliance published by Network Appliance, Inc.	
		Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Storage Networking Industry Association (SNIA), Draft SNIA CIFS Documentation Work Group Work-in- Progress, Revision Date: 3/26/2001	
		Fielding et al. (1999) Request for Comments (RFC) 2616, HTTP/1.1	

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